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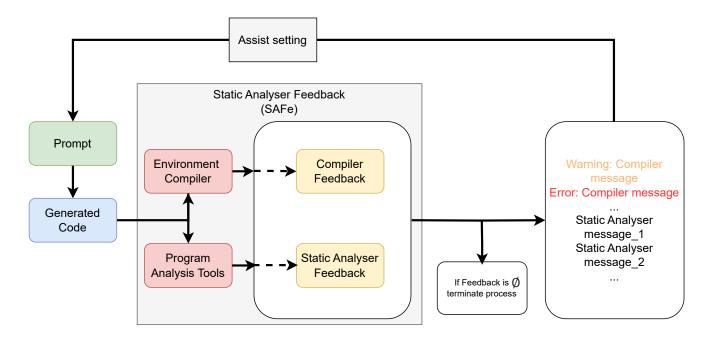


Figure 1: Overview of the model-agnostic CodePatchLLM.

ABSTRACT

The development of large language models (LM) has significantly advanced the field of code generation. A survey of developers by Stack Overflow has found that 70% of respondents are using or plan to use AI coding tools this year [44]. Current approaches mainly rely on supervised fine-tuning objectives borrowed from text generation, neglecting unique sequence-level characteristics of code, including but not limited to compilability as well as syntactic and functional correctness. To address this limitation, we propose a new approach to code generation that synergistically combines pre-trained LLM models with software analysis tools, which are widely used to check

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© 2024 Copyright held by the owner/author(s). Publication rights licensed to ACM. ACM ISBN 978-x-xxxx-x/YY/MM https://doi.org/10.1145/nnnnnnnnnnn for vulnerabilities while validating the code. By utilizing expanded messages from code compilation and analysing, proposed approach seamlessly integrates external code-specific knowledge into the prompt chaining process. We develop CodePatchLLM, an extension for LLM that utilizes Svace feedback for code generation. It is important to note that CodePatchLLM is a model-agnostic framework that can be used across different program languages. Extensive experiments on LeetCode dataset demonstrate the effectiveness of our proposed approach compared to backbone model, CodeLlama, achieving significant improvements in compilation success rates and functional correctness across Java, Python and Kotlin languages. Our CodePatchLLM code is available online¹.

KEYWORDS

Large Language Model, Static Analyzer, Code Quality

ACM Reference Format:

Danil Shaikhelislamov, Mikhail Drobyshevskiy, and Andrey Belevantsev. 2024. CodePatchLLM: Configuring code generation using a static analyzer. In

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¹https://github.com/dsshay/CodePatchLLM

Proceedings of KDD workshop on Evaluation and Trustworthiness of Generative AI Models (GenAI Evaluation KDD2024). ACM, New York, NY, USA, 7 pages. https://doi.org/10.1145/nnnnnnnnnnn

1 INTRODUCTION

Code generation or program synthesis aims to automatically generate source code that adheres to a specified programming requirement, which is typically described in a natural language [10, 42]. Recently, with the development of large language models (LLM), techniques based on LLMs [1, 35, 36] have demonstrated impressive ability in code generation. However, challenges persist with the use of generated code in complex systems [7, 8, 12, 54], indicating a remaining gap in fully meeting user expectations.

In this context, learning from automatic defect detection tools demonstrates exciting potential to enhance the comprehension of complicated technical specifications and the quality of generated codes [27]. Feedback from compilation and execution results is instrumental in directly ascertaining the functional correctness of programs [8, 45]. Researchers have introduced leveraging compiler feedback from unit tests to guide the exploration of the output space of LLMs [29, 40] using reinforcement learning techniques. In other words, authors fine-tuned the model so that the output program was built successfully and passed tests.

Nevertheless, optimizing LLMs for code generation via compiler feedback presents several challenges. Firstly, the increasing complexity of human requests to LLMs often results in the generation of longer code sequences, and this worsens the final program quality [11, 22]. Secondly, feedback solely from independent unit tests and a compiler is not enough for reliability of such a program. Static analysis tools conduct more thorough source code checks than compilers, which usually only detect syntax errors [4].

Automated code generation (or program synthesis) has attracted much attention over the past few years [30], because of its potential to improve the productivity of developers, as well as to speed up the software development [33]. Companies that hastily implement generative solutions or succumb to the "AI hype" may face a potential increase in cybersecurity risk. Recent work [15, 43] highlights the importance of using robust implementations of generative AI in a business environment to mitigate such risks. The demand for reliable and secure code has never been higher.

To tackle these challenges, several approaches are proposed, such as filtering and repairing the non-executable synthesized programs [21], using energy-based generation models with execution constraints [20], and reinforcement learning (RL) fine-tuning mechanisms [8, 23, 40]. However, existing approaches are often tailored to a specific programming language (PL) or task, e.g., [23] is exclusively designed for program synthesis task in Python.

We introduce a new approach, illustrated in Fig. 1, combining results of program analysis and LLM for code generation. An overview of the proposed approach. Generated Code are first initialized from the pre-trained LLM for the designed task. The generated code is completely transferred to the compiler and static analyzer (SAFe module) suitable for the selected programming language. Detected warnings and errors are collected in a single pool of messages that are transmitted to Assist Setting. Finally, the LLM model prompt is updated based on the obtained values and returns.

Initially, the output of any pre-trained LLM tailored for code generation is transferred to the program analysis module, SAFe (Software Analysis Feedback). The module includes but is not limited to the use of a compiler and a static analyzer. It is assumed that it is possible to include other analysis tools such as DAST [9], IAST [32]. In particular, SAFe analyzes the entire file generated by the program to identify potential vulnerabilities in the architecture of the solution that may occur when using external libraries and classes. The usual classic static analysis stages are performed on the generated program, namely, capturing the program build to generate automatically the required intermediate representation, lightweight analysis of the program's syntax trees (AST-level analysis), and interprocedural dataflow analysis (which is both context-sensitive and path-sensitive based on symbolic execution). Eventually, the goal is to identify all possible errors in the generated program, before it is used by humans. One distinctive feature of this approach is the utilization of feedback from program analysis tools as additional contextual clues for the LLM.

Specifically, messages generated by the compiler and static analyzer are incorporated into the LLM's prompt as supplementary comments (in Assist Setting). By enriching the prompt with insights gathered from program analysis, the LLM gains a deeper understanding of the desired code's requirements, constraints, and potential pitfalls. Once the prompt is augmented with relevant analysis feedback, the LLM is prompted to generate a new code, embedding the provided comments into its output. This iterative process fosters a symbiotic relationship between automated program analysis and advanced language modeling, facilitating the generation of code that not only adheres to syntactic rules but also aligns with best practices, security guidelines, and architectural constraints.

In addition, we propose a novel framework, CodePatchLLM, which integrates the Svace static analyzer [2, 16] feedback into CodeLlama [36] to enhance the reliability and security of generated code. Through a series of experiments and evaluations on Leetcode dataset [13] we seek to demonstrate the effectiveness of our approach in improving code quality, reducing the risk of defects, and ultimately enhancing the trustworthiness of software systems in real-world applications.

To summarize, the major contributions of this paper are as follows:

- We introduce a novel approach that utilizes code-specific feedback as the external source of knowledge in model instructions. The approach is independent of models architecture and generates higher-quality codes.
- We develop the CodePatchLLM extension for CodeLlama that applies the Svace static analyzer to the generated code, and iteratively corrects the model's prompt using all warnings and errors detected by Svace;
- We demonstrate the effectiveness of CodePatchLLM through experiments across diverse programming tasks (from the Leetcode platform²) and program languages (Java, Python, Kotlin). Using CodeLlama with CodePatchLLM improves the compilation rate in 50% for Java and 10% for Kotlin more

²https://leetcode.com

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cases and functional correctness over different languages by 5%.

The remainder of this paper is organized as follows. In Section 2 we describe existing code generation models utilizing external knowledge and structure-based approaches. Section 3 delves into the specifics of our proposed approach and new CodePatchLLM framework that includes the Svace static analyzer. The experimental evaluation of CodePatchLLM on programming tasks written in three program languages (Java, Python, Kotlin) and the case study can be found in Section 4. Finally, Section 5 concludes the paper.

2 RELATED WORK

2.1 Fine-tuning large language models for code generation

Recently, LLMs have shown remarkable ability in understanding natural language and code generation by training on large text corpora containing code data. Several pre-trained language models (PLMs) demonstrate significant potential for code generation including CodeGPT [30], PanGu-Coder [38], SantaCoder [3]. In addition, supervised fine-tuning models achieve more competitive performance such as CodeX [6], CodeLlama Instruct [36].

Reinforcement Learning is a method of learning the optimal policy by exploring the environment and obtaining rewards [48]. Recently, some researchers have introduced RL to LLMs and improved the quality of the generated code by utilizing the unit test feedback to explore the output space of the policy model [8, 23, 28, 29, 40]. For instance, Coberly [23] leverages signal from unit tests as rewards and utilizes the actor-critic approach [19] to enhance models on code generation. PPOCoder [40] refines Code by employing the PPO algorithm [37] and RLTF [29] provides fine-grained rewards through the error locations, but the reward space is still sparse. However, the exploration of complex tasks in an environment characterized by a sparse reward is challenging. These methods still fall short of effectively using RL to enhance the model's performance in code generation [54].

2.2 Chain-of-thought prompting

With the recent advancements in large language models, researchers have discovered that utilizing the chain-of-thought (CoT) [14, 46] techniques can significantly improve reasoning abilities. Authors [46] introduced the concept of few-shot CoT, which involves generating intermediate reasoning steps before arriving at the final answer with in-context demonstrations. This approach deviates from traditional few-shot prompting (also called in-context learning [5]) that directly generates the final answer. Zero-shot CoT [18] is another method leveraging chain-of-thought which adding the prompt "Let's think step by step." after the task description to activate LLMs to generate rationales in order for improved task performance. Other researchers also propose various prompting methods to enhance model capabilities, including auto-cot [52], least-tomore [53], decomposing prompting [17] and tree-of-thought [49]. In our work, outputs of program analysis tools can be seen as kind of prompt chaining, since all this data serves as intermediate steps for fixing bugs in the code.

2.3 Prompting with feedback

Despite the remarkable capabilities of large language models, it can still be challenging sometimes to generate the correct answer in a single attempt. Recently, people found that LLMs can receive feedback from external environment or generated by themselves and iteratively refine according to the feedback. Self-refine [31] launches a novel approach that allows LLMs to iteratively refine outputs with the feedback without any labeled data. Reflexion [39] proposes a "verbal reinforcement learning" that LLMs reflect on failures based on feedback and store reflexion in a text style for future trials. REMEMBERER [50] employs a method that allows LLMs to learn experience which is stored in an external memory from the feedback in the training set and transfer that experience to the test set for a better performance. In our work, we focus on code generation task and teach LLMs to improve code based on feedback from program analysis tools such as a static analyzer.

2.4 **Prompting for code**

Prompting techniques have been extensively utilized in tasks related to code. Some works including [24–26] focus on leveraging prompting to enhance code generation. In [51] prompting is used to facilitate code selection and develop a reviewer model. We focus on using program analysis tools to improve the compilability and security of the code without losing the effectiveness of solving the problem. We use a message from the compiler and static analyzer as a signal for the model.

3 METHOD

In this section, we focus on the methodological details of our approach, which ensures the generation of a compiled program and a program tested by a static analyzer, respectively, as shown in Fig. 1. And we describe CodePatchLLM, a novel framework that uses Svace [2, 16] feedback for correcting prompt for CodeLlama [36], illustrated in Fig. 3.

3.1 Comparing prompting and fine-tuning approaches for code generation tasks

We aim to dissect several key aspects underlying the efficacy of prompting as a methodology over traditional fine-tuning practices. We begin by examining insights gleaned from empirical studies [17, 25, 46].

Better understanding. Consistent improvement of parts of the generated code can facilitate better understanding, error correction, and optimization, ultimately leading to improved overall performance of the LLM [25].

Activating specific internal knowledge. Using prompting activates specific internal knowledge.

In the single step approach, the LLM is constrained to solve the entire problem in a single step. Therefore, the maximum number of tokens it can process is limited by *s*. In the *n* intermediary steps approach, the LLM solves the problem in multiple steps, with each step handling a portion of the task. Since the LLM can process tokens in each of the *n* intermediary steps, the total number of tokens processed across all steps is $s + n \times m$.

Comparing the two approaches, we can see that the *n* intermediary steps approach allows the LLM to process a total of $s + n \times m$

tokens, which is significantly higher than the token processing capacity of *s* in the single step approach.

Independence from the model architecture and software tools. Fine-tuning a model with specific static analyzer can lead to overfitting, where the model performs well on errors and warnings that can only be detected by this static analyzer but poorly on unseen data.

Moreover, fine-tuning can sometimes lead to a loss of generalization ability, meaning the model becomes too specialized for the specific task it was fine-tuned for and performs poorly on related tasks or in different environments.

The transferability of a fine-tuned model to different tasks or domains may be limited. While fine-tuning may improve performance on a particular task, it might not transfer well to other tasks without further adjustments or retraining.

Customizing without computational cost. Fine-tuning can be computationally expensive, especially if the pre-trained model is large and the fine-tuning dataset is extensive. This can require significant computational resources and time, making fine-tuning less practical in some scenarios [47].

Previous works [8, 45] by fine-tuning a model based on compiler feedback shows that a reward or binary signal is usually returned as feedback, the context itself does not change. Thus, fine-tuning the model requires a lot of resources, since the model has to explore all the output spaces in order to find the best combination that will lead to a rare reward, program compilability.

External signals do not detract the model from solving the problem. If the previous code snippet is compilable, the generator can fool the compiler easily. The RL is good at making use of this, resulting in the generated code can be compiled, but seriously deviating from the generation likelihood objective.

Previous works [8, 29] to avoid active model being too far away from reference model added a Kullback-Leibler [54] penalty with expectation. To alleviate the imbalance between the reward term and the penalty term and improve the stability of training, authors in [34] used autoregressive fine-tuning. This general setup leads to the fact that the original model corrects only a small number of tokens at the input, which in turn may not be optimal for quickly improving the compilability and security of the code, since the entire solution architecture has to be changed.

Based on this, we propose a simple and convenient framework CodePatchLLM to extend CodeLlama [36] capabilities for code generation through feedback from Svace [16].The flexible configuration of the framework allows to use any architecture of the LM with CodePatchLLM that solves the task. The authors believe that frameworks like CodePatchLLM can be used as add-ons on an arbitrary code generation model. And can be used when the specification requirement is higher than under normal conditions.

3.2 CodePatchLLM

Our proposed method CodePatchLLM enables large language models to use the code debugging method through a static analyzer. The CodePatchLLM can be used in cases where the requirements for the generated code are much higher than in normal conditions: the code must be vulnerability-tested and executable. It involves testing generated code through the Svace static analyzer. Programmers can analyze the output generated by the dialogue of LLM and Svace to understand how the code has been changed and what errors were in the first version.

Figure 3 shows an example of the first iteration using CodePatch-LLM on the program generation task. We first let LLMs attempt solving the programming problem based solely on the problem description, without any extra information. The generated code, regardless of its size, is checked by the Svace static analyzer (Stage SAFe) and code regeneration based on feedback from program analysis tools (Stage Asssist Setting). The above steps will be repeated until Svace finds errors and vulnerabilities in the code or until several rounds of debugging attempts still fail to fix the issues.

We will now provide a detailed discussion of each step:

Generating code. For code generation we use Code Llama 70B Instruct³, the largest and best-performing open-source model. We use a typical usage prompt for many models [14]: *You are a help-ful and honest code assistant expert in* {LANGUAGE}. *Please, provide all answers to programming questions in* {LANGUAGE}. where {LANGUAGE} denotes the selected programming language. When communicating with the model, we use the following chat prompt format:

```
chat = [
    {"role": "system", "content": "System prompt "},
    {"role": "user", "content": "First user query"},
    {"role": "assistant", "content": "Model response to first query"},
    {"role": "user", "content": "Second user query"},
]
```

Figure 2: Chat Prompt for CodeLlama-70B-Instruct. Source: https://huggingface.co/codellama/CodeLlama-70b-Instruct-hf

SAFe stage In this stage, we proceed with code analysis that includes the running the Svace static analyzer. Following the Svace user manual, we initialize the directory in which the program file is located with the command: svace init. We capture any error messages provided by the Svace or the original compiler. It is important to note that even if the execution encounters errors, we still collect the log generated prior to the occurrence of the error. For instance, before running the analysis, Svace needs to build intermediate representation generated when monitoring the original compilation process (e.g. via the javac compiler for Java). And if an error occurs at the compilation stage, we collect the event and message in the log.

In our research, we use Svace as a base component for code analysis and optimization. Svace identifies security vulnerabilities inherent in software code, including potential buffer overflows, memory leaks, and other unsafe coding practices. By proactively detecting these vulnerabilities at an early stage of development, Svace empowers users to mitigate security risks effectively, safeguarding software systems from potential cyber threats and breaches. Additionally, Svace facilitates the identification of performance bottlenecks within the codebase, such as inefficient algorithms, redundant computations, or memory-intensive operations.

³CodeLlama-70B is free for research: https://ai.meta.com/blog/code-llama-large-language-model-coding/

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It is assumed that the module SAFe can be flexibly expanded with other code analysis tools, for example, a dynamic analyzer.

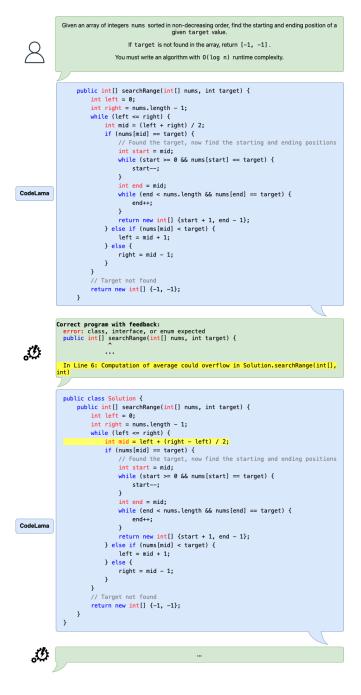


Figure 3: An illustration of Java program generation with CodePatchLLM, utilizing the Svace feedback.

Assist settings In the final step, we provide error messages to the model turning all feedback into a sequence. Our approach aims to take into account all feedback and instructions provided by program analysis tools. This means that at each timestep, the model can only utilize the past time steps data and itself. We instruct LLMs to regenerate the code considering the comments found, as illustrated in Figure 3. LLMs are prompted to fix an error in the code in a specific place (the line number and the method used are known). In the Figure 3 two messages generated by the SAFe module are specified: a class declaration is expected for the compilation of the program and the calculation of the average value may be overflowed.

4 EXPERIMENTS

We evaluate our CodePatchLLM framework with CodeLlama [36] as backbone. We investigate (1) how CodePatchLLM framework can boost LLMs' performance on real-world programming tasks benchmarks; (2) the impact of the framework on code compilability and the reduction of defects; and (3) the effect of the number of iterations.

Benchmark. We consider Leetcode datasets [13] for our evaluations. LeetCode⁴ is one of the most visited platform for practicing programming. We selected LeetCode as the primary source for our evaluation dataset, as it programming tasks can be directly compiled by copying and pasting contents from the LeetCode website. A dataset consists of 2 612 programming tasks. Problems in the dataset are categorized into three levels according to their difficulties.

Metrics. To evaluate the generated codes, we employ the *pass*@1 metric following [6], which calculates the percentage of problems for which all unit tests are passed using 1 synthetically generated program sample per problem.

Implementation details. The experiment process was conducted on a device with three NVIDIA A100 80G GPUs.

The weights of the model are loaded from HuggingFace. The maximum output token length is set to 2048.

At each step of the code update, we submit the solution to the platform Leetcode. And also at each stage reinitialize existing Svace project directory from scratch.

4.1 Experimental results on Leetcode

In our study, we evaluate CodePatchLLM with Java, Python, and Kotlin lanuages.

The experimental results are illustrated in Table 1. The reported results indicate that the model with CodePatchLLM improve performance the model with basic settings for Java and Kotlin. And experiment shows that in Python the extended model does not improve the quality. Mechanism feedback from Svace and original compiler designed to executable and feasibility of the program. Therefore, in part, the extension does not change the overall quality, since a Python program does not require a compiler. The lack of feedback from the compiler and the analyzer explains the minimal deviations of the results from the original model. Experimental results show that CodePatchLLM improves executability by 45% for Java and by 10% for Kotlin. Additionally, it enhances the "Accepted" rate by 50% for Java.

In Table 1, the percentage of wrong answers and runtime errors increased after applying CodePatchLLM for the Java and Kotlin languages. Specifically, wrong answers increased from 22% to 37% for Java and from 2% to 10% for Kotlin. This is due to the distribution

⁴https://leetcode.com

Table 1: Performance results. Overall, CodePatchLLM boosts the performance and compilability of CodeLlama on the discussed metric.

		Accepted	Wrong Answer	Runtime Error	Compiler Error
Java	CodeLlama[36]	12%	22%	3%	62%
	CodeLlama + CodePatchLLM (Our)	25%	37%	5%	33%
Python	CodeLlama[36]	36%	47%	17%	0%
	CodeLlama + CodePatchLLM (Our)		same	result	
Kotlin	CodeLlama[36]	10%	2%	24%	64%
	CodeLlama + CodePatchLLM (Our)	12%	10%	24%	54%

of compiler error cases among other cases. In particular, some of the tasks became compiled, but had wrong answer.

In 37.3% of cases, CodeLlama incorrectly names the implemented class method at the first request. For example, swapAdjacentNodes instead swapPairs. It is noteworthy that further dialogue with the model allows you to correct this although in the task formulation there are no clarifications in the naming of methods and classes.

Since CodePatchLLM constrains the model on an example when predicting another one, the model can simply "copy" the example without learning to understand the underlying task. In future, to address this, we can randomly mask between 0% and 5% of past tokens during training, which help regularize the model and prevent it from overfitting to the specific examples seen during training [28, 41].

The Table 2 presents the percentage of generated programs with errors and vulnerabilities, categorized based on the type of feedback received from the original compiler and Svace static analyzer. the majority of the feedback (98%) has been addressed by Code-PatchLLM, indicating its effectiveness in correcting errors. In Java, 12.5% of the generated programs had Svace feedback indicating vulnerabilities, while for Kotlin, this percentage was lower at 3.1%. No errors were detected in the generated Python programs, likely due to the Python support in Svace being in the first release, so that not many Svace checkers are supported for Python. It is worth noting that the analyzer verification stage comes after the compiler verification, and Svace identifies errors that do not influence whether the program can be successfully built, as all programs being analyzed are already compiled correctly. But the errors found can affect functional correctness.

Table 2: The percentage of generated programs with errors and vulnerabilities. 98% of the reviews have been corrected by CodePatchLLM.

	Compiler	Svace
	feedback	feedback
Java	62,3%	12,5%
Python	_	0%
Kotlin	64,5%	3,1%

Our approach allows to create a ready-made extension that can be used in conjunction with any LLM to generate code. Experiments with CodePatchLLM demonstrate that the method of using feedback from the original compiler and static analyzer improves compilability and prevents errors for Kotlin and Java programs.

5 CONCLUSION

In this paper, we introduce CodePatchLLM, a novel framework that leverages feedback from compilers and static analyzers to correct prompts. We identified some limitations of fine-tuning LLM for code generation tasks and designed a new framework that is geared towards program languages as opposed to natural language. We incorporated compiler and static analyzer's feedback into our framework to encourage the model to generate more syntactically and logically correct codes. Results of our experiments show the effectiveness of our method compared to the basic model without CodePatchLLM in improving the syntactic / functional correctness of the generated codes. It is important to understand that one of the limitations of CodePatchLLM is the additional time spent on data exchange, which can increase computational requirements. However, CodePatchLLM is primarily motivated by its ability to enhance the performance of pre-trained models which is a more cost-effective strategy than fine-tuning ones to specific task.

By addressing the critical need for trustworthy code generation, this research has the potential to contribute to the development of more reliable and secure software systems.

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